# 2652

Docket Number: 008312-0281351 Ckent Reference: T4TY-01S0006-1 PATENT APPLICATION

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

MINORU YONEZAWA, et al.

Group Art Unit: 2652

Application No.: 09/873,408

Examiner:

Filed: June 5, 2001

**Confirmation No.:** 

For: OPTICAL DISK APPARATUS AND METHOD OF ADJUSTING THE SAME

# INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

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Technology Center 2600

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

The references were cited in a international search report in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

Docket Number: 008312-0281351 Client Reference: T4TY-01S0006-1

A concise explanation of the relevance of the non-English language references appears in the Appendix attached hereto. An English-language Abstract of the non-English language reference is attached hereto.

Respectfully Submitted,

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P.O. Box 10500 McLean, VA 22102 Docket Number: 008312-0281351 Client Reference: T4TY-01S0006-1

### **APPENDIX**

## **Concise Explanation**

WO 98/16924: describes a method which serves to control the focusing of a light beam, aimed at a rotating recording medium (25) for reading data, of a scanner (15, 20) onto the recording medium (25) and the guidance of the light beam along the data tracks of the recording medium (25), each by means of a respective control circuit. The scanner (15, 20) and the recording medium (25) are mounted on a fundamental substrate (10) in at least one housing (1), and the at least one housing (1), together with the fundamental substrate (10), the scanner (15, 20) and the recording medium (25), forms a coupled mechanical oscillator, which is vibrated as a function of a vibration spectrum (75); the scanner (15, 20) is accelerated by the vibration and a relative motion of the recording medium (25) with respect to the scanner (15, 20) is effected, and the amplitudes of the relative motion and the accelerations have a frequency response. The masses, spring constants and damping values of the scanner (15, 20), the recording medium (25), the fundamental substrate (10), and the at least one housing (1) are selected such that the frequencies at which the amplitudes of the relative motion of the recording medium (25) relative to the scanner (15, 20) and the accelerations acting on the scanner (15, 20) are maximal are located in a frequency band of the respective control circuit in which an amplification is performed, and the maximum values of the amplitudes in that frequency band do not exceed a predetermined value



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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Application Number 09/873,408 Filing Date 06/05/2001 First Named Inventor MINORU YONEZAWA Art Unit 2652 Examiner Name

Sheet 1 of Attorney Docket Number 008312-0281351

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (If known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6,028,826	02-22-2000	YAMAMOTO et al.	
	2	US- 5,600,615 US-	02-04-1997	KIYOURA et al.	
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:	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>			
	Α	EP	1 003 159	Al	05-24-2000	SAKAMOTO et al.				
	В	wo	98/16924		04-23-1998	BUCHS et al.				
	С	EP	0 745 981	A2	12-04-1996	BABA				

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Indeed St.33. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

6 Applicant is to place a check mark here if English language Translation is attended.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.